

Program Evaluation and Audit

# Update on Transportation Subrecipient and Contractor Oversight

May 19, 2020



# Covered Topics

- Oversight Summary
- Findings
- COVID-19 Updates
- Future Oversight



# Scope

- Summary of FTA Compliance Audits
- Covers reports from January 1, 2014 to February 11, 2020
- All subrecipients and contractors have been reviewed at least once

FEDERAL TRANSIT ADMINISTRATION  
COMPLIANCE REVIEW:  
METROPOLITAN TRANSPORTATION  
NETWORK, INC.  
*Program Evaluation and Audit*

FEDERAL TRANSIT ADMINISTRATION  
COMPLIANCE REVIEW  
PLYMOUTH METROLINK  
*PROGRAM EVALUATION AND AUDIT*

October 30, 2019



June 19, 2019



# Notes

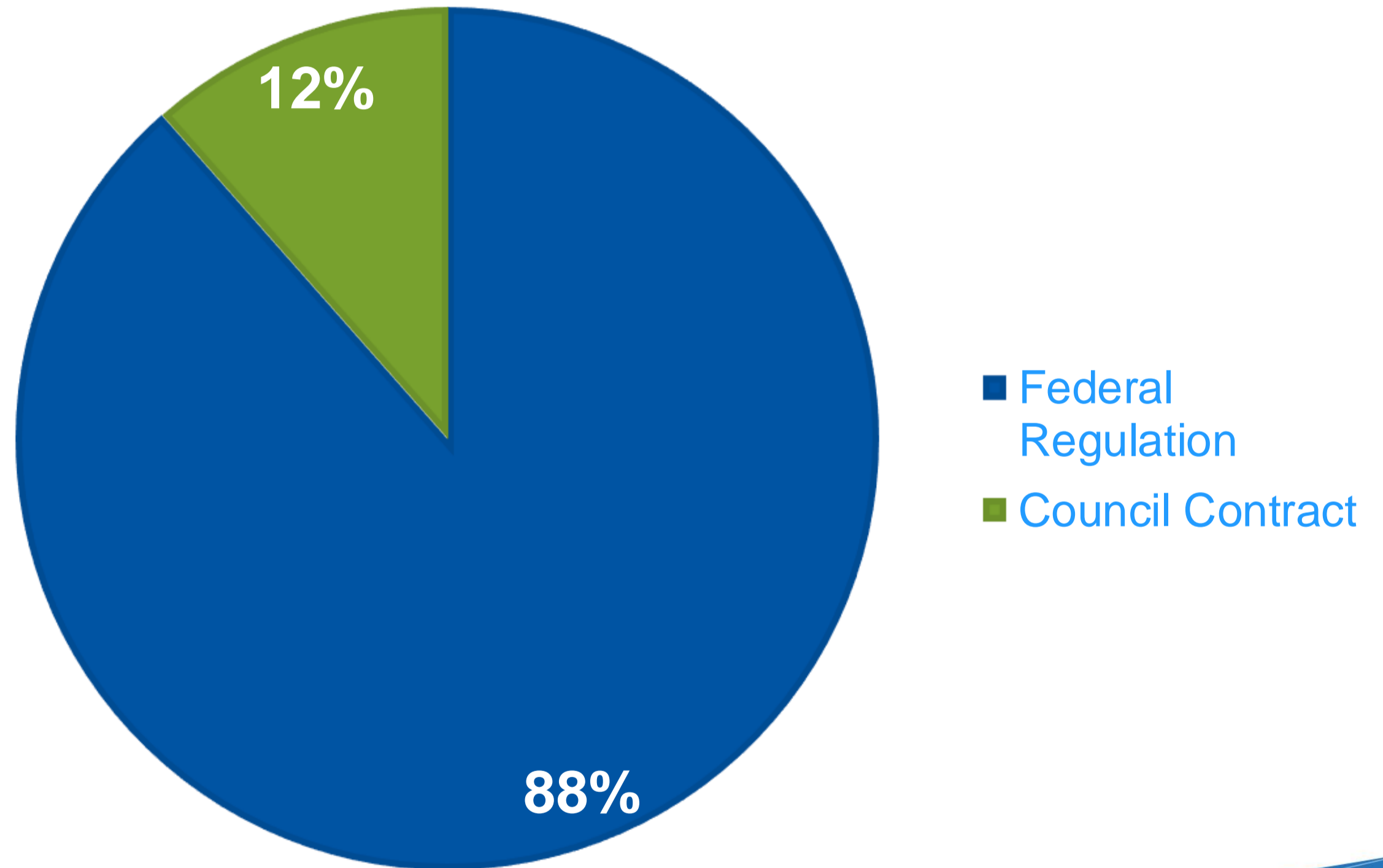
- DARTS Audit included for 2014
- First Transit Roseville Audit for 2015
- One audit conducted in 2016
- 6 different groups of interns
- *FTA Triennial Guidebook* changes



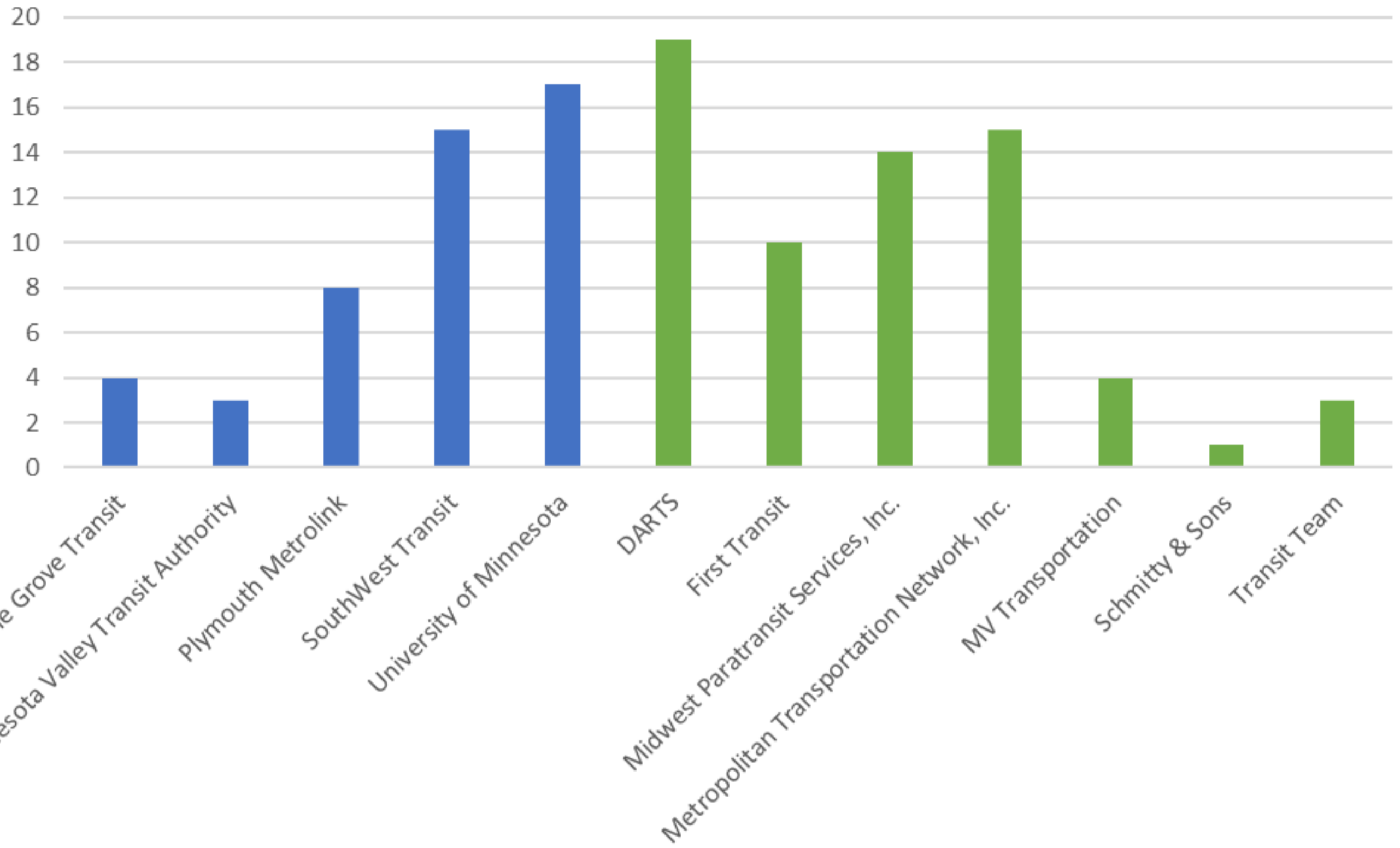
## Findings and Advisory Comments by Year

Year	2014	2015	2016	2017	2018	2019	Totals
<b>Findings</b>	22	14	3	5	40	29	<b>113</b>
<b>Advisory Comments</b>	2	5	0	8	9	2	<b>24</b>
<b>Total</b>	<b>24</b>	<b>17</b>	<b>3</b>	<b>13</b>	<b>49</b>	<b>31</b>	<b>137</b>
<b>Audits</b>	2	4	1	4	3	5	19

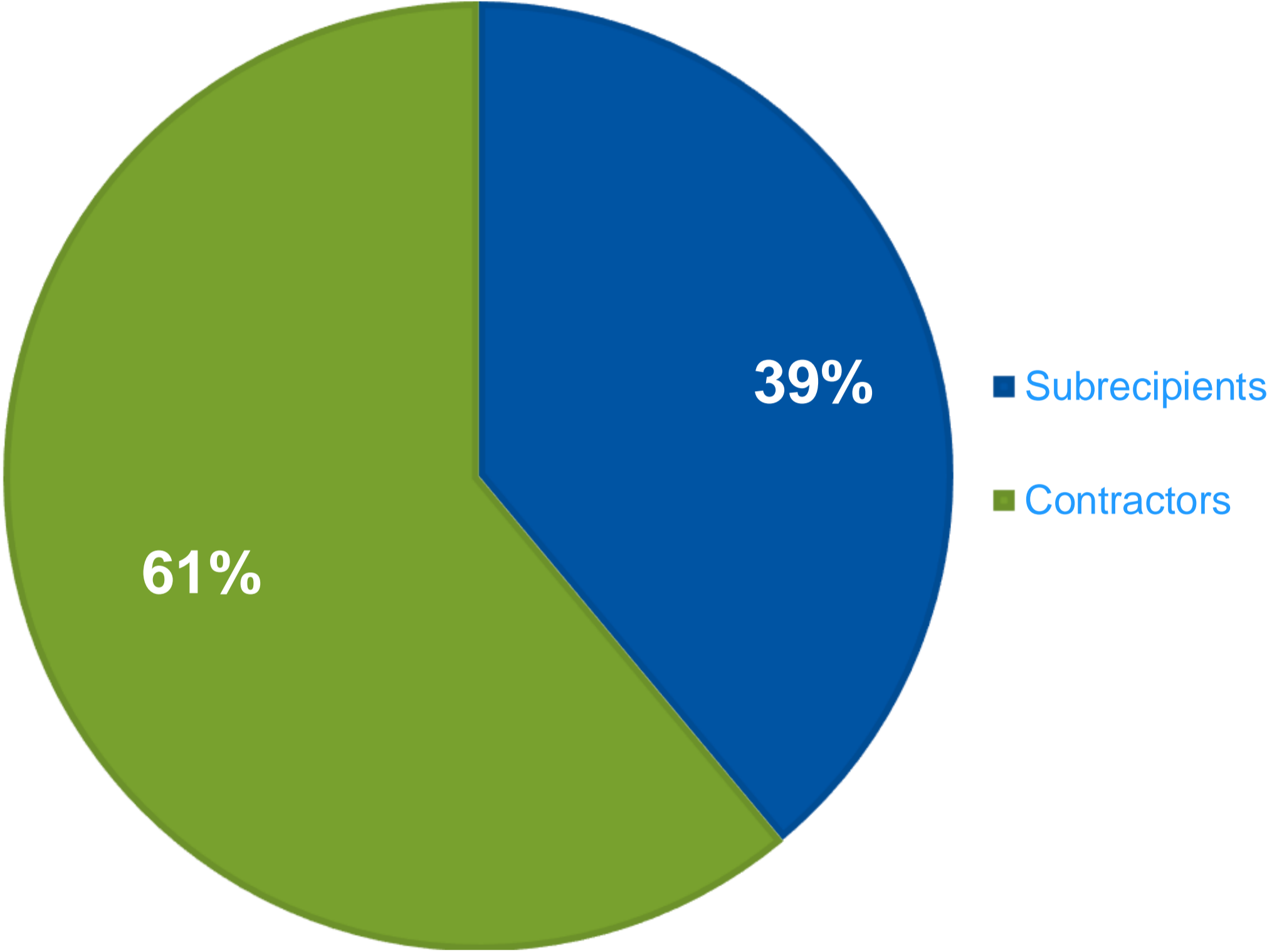
# Findings by Type



### Findings by Recipient, 2014-2019



# Findings by Recipient Type

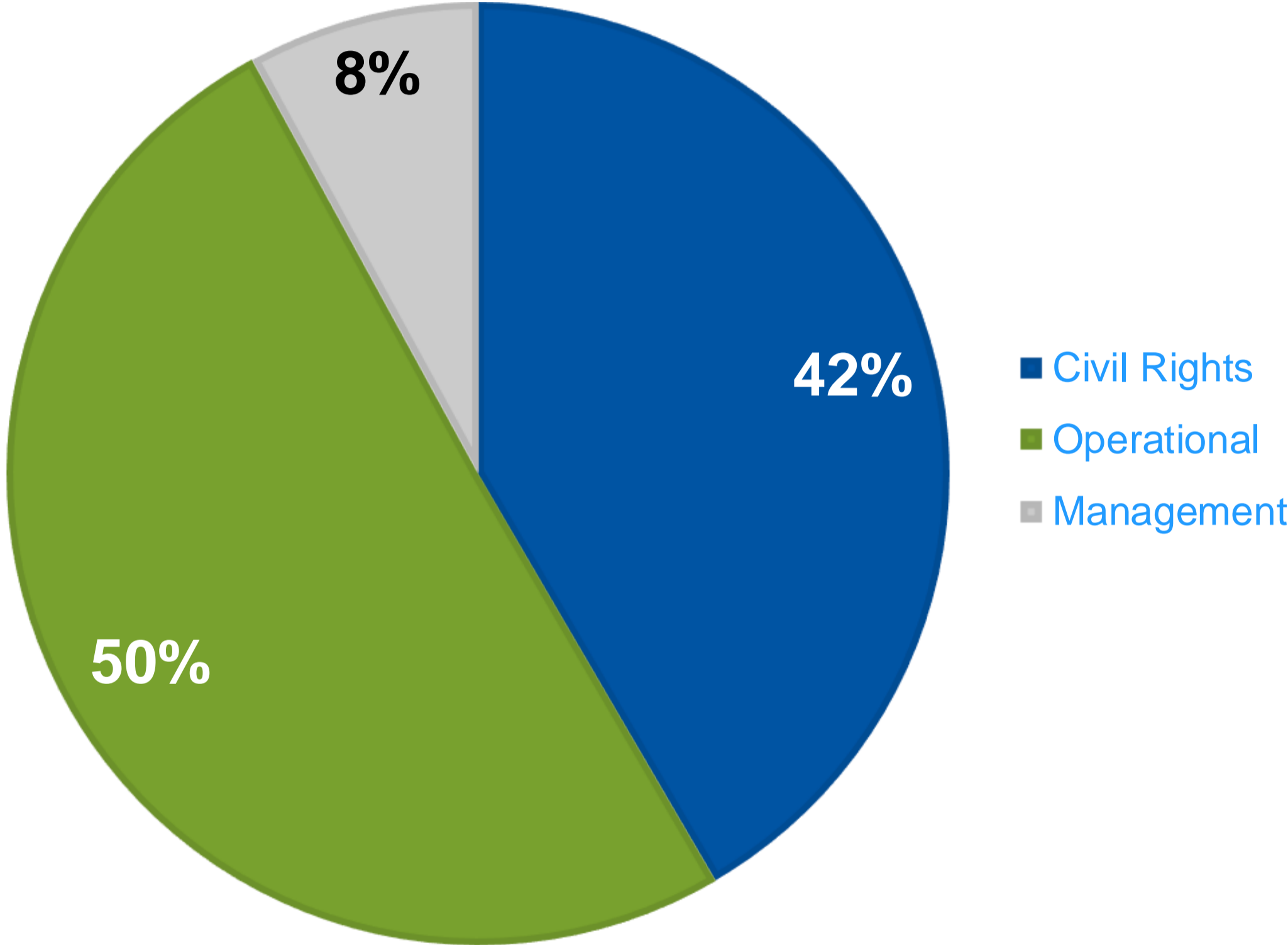




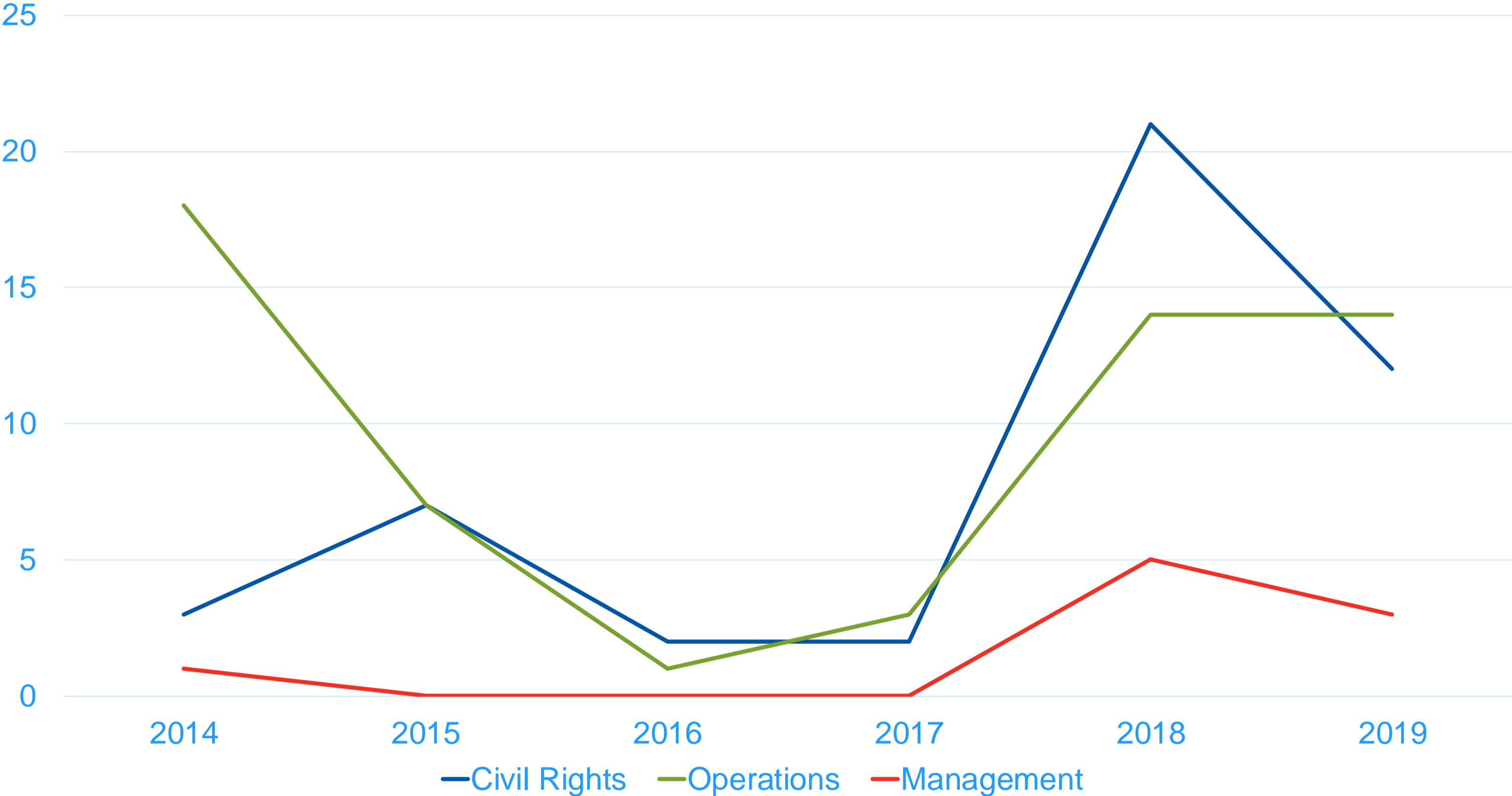
# Categorization

Civil Rights	Operational	Management
Americans with Disabilities Act (GEN and CPT)	Drug and Alcohol	Charter Bus
Disadvantaged Business Enterprise	Drug-Free Workplace Act	Financial Management and Capacity
Equal Employment Opportunity	Maintenance	Legal
Title VI	Transit Asset Management	Procurement
	Satisfactory Continuing Control	School Bus
	<i>Other:</i> TLC, VMS, AUD, BBP	Section 53XX Program
		Technical Capacity

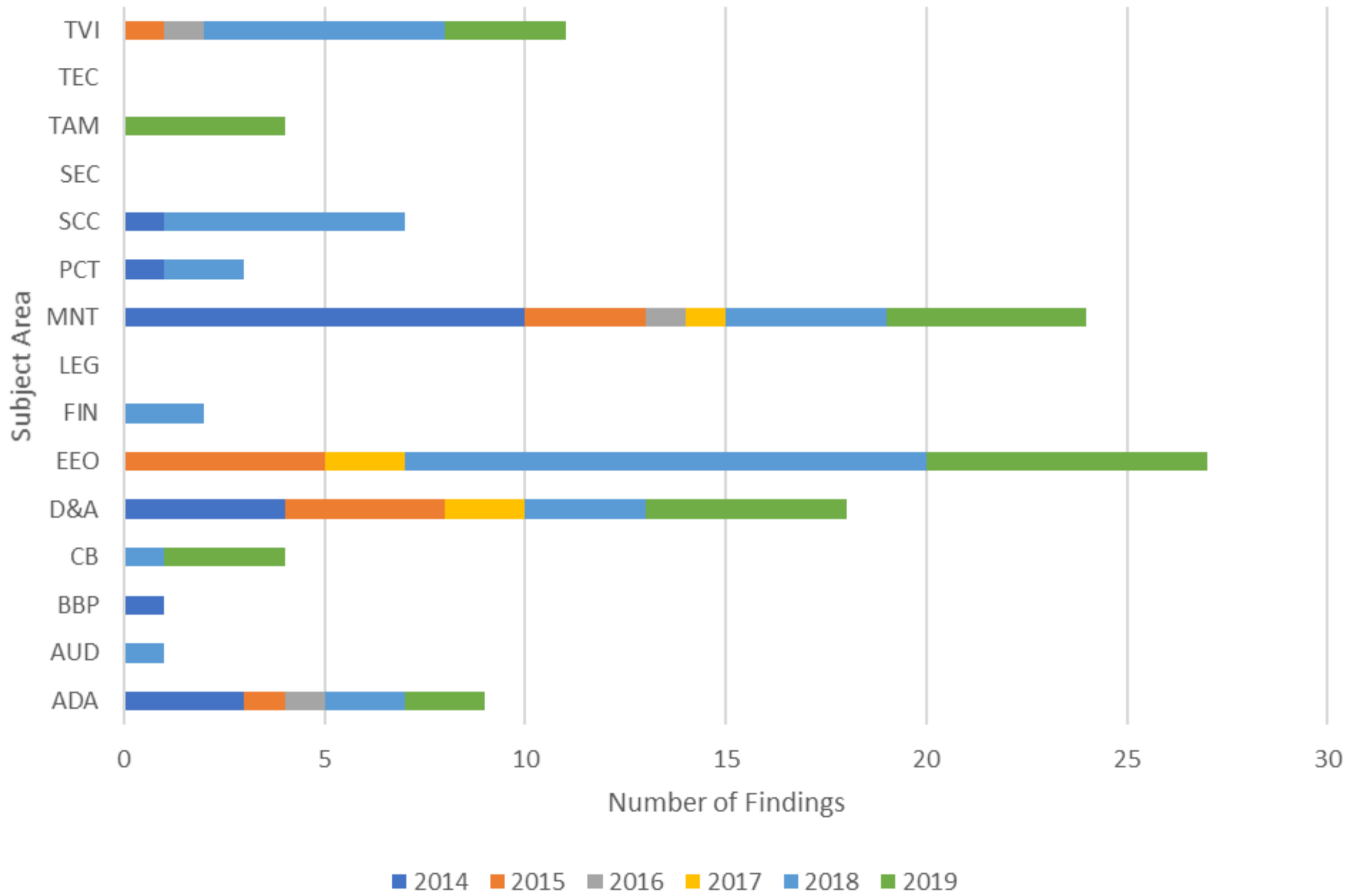
# Findings by Category



# Findings by Category Over Time



# Subject Area Findings Over Time



# Service Updates

- Metropolitan Transportation Network, Inc. has transferred their service to First Transit
- Midwest Paratransit Services, Inc. has transferred part of their service to First Transit
- MV Transportation, Inc. will not be re-upping service
- Most contractors performing grocery services

# COVID-19 Update

- Major Ridership drops
- Metro Mobility and Transit Link Contractors performing grocery delivery
- Subrecipients have cut/limited service
- Subrecipients will be directly receiving federal funds under the CARES Act

# COVID-19 Update (cont.)

- Planning on rolling out temperature screening ASAP
  - Focus on Metro Mobility as top priority
- Constant communication with customers
- Bus Cleaning
  - Metro Mobility/Transit Link buses are cleaned multiple times per day
  - Fixed route buses daily
- Contractor COVID Positives are communicated to MTS Operations Chief and Incident Command.

# Future

- Pivot Audit Role to a Risk Based Approach
- Targeted Work with Subrecipients and Contractors
- Controls and lines of defense are being identified
- MTS, OEO have staff and resources to perform them
- Audit focus on third line of defense
  - Ensure Council has required FTA Oversight documents
  - Target Audit Work to High Priority Areas/Auditees



# Questions?