



METROPOLITAN
C O U N C I L

FTA Program Analysis

Did you know?

- As of April 1, 2021
 - SBU has reviewed 56 projects for an inclusion goal
 - Of the 56 reviewed, 42 were assigned a goal
 - SBU has performed and completed 45 bid/proposal evaluations
 - 3 did not meet the goal but made adequate Good Faith Efforts
 - 11 did not meet the goal and did not document adequate Good Faith Efforts
 - SBU has performed 1 Administrative Reconsideration
 - SBU has certified 15 new DBE firms (total of 53 in 2020)

Certified Disadvantaged Business Enterprises (DBEs) Data Analysis



Content

- # of DBEs by ethnicity and place of business
- Industry type by place of business
- Industry type by ethnicity
- Mn-based DBEs by ethnicity and gender
- DBE Participation on FTA Funded Projects

DBE Utilization

- Disadvantaged Business Enterprise (DBE) - Federal Funds
 - Construction, A & E, Professional Technical Contracts
 - Annual goal set every three years (2021 – 2023 =14%)
 - Project specific goals assigned by Small Business Unit staff
 - Report utilization to FTA semi-annually
 - Achievement against annual goal is based on Awarded Contracts

Total # of DBEs by Ethnicity and Location

	Metro District	Greater MN	Interstate	Total
Black American	248	12	110	370 (31%)
Native American	18	14	13	45 (3.8)
Hispanic American	57	13	41	111 (9%)
Non-Minority (White)	290	106	143	539 (45%)
Asian American	70	10	40	120 (10%)
Others	2	1	2	5 (0.4%)
	685	156	349	1190

*Firms certified by the Minnesota Unified Certification Program as DBE firms

Industry Type by Place of Business

Industry Code	Industry Title	Metro District	Greater MN	Interstate	Total
11	Agriculture, Forestry, Fishing and Hunting	1	5		6
21	Mining, Quarrying, and Oil and Gas Extraction	4	5	1	10
22	Utilities	2			2
23	Construction	213	63	85	361
31-33	Manufacturing	51	11	29	91
42	Wholesale Trade	67	25	54	146
44	Retail Trade	15	9	18	40
45	Sporting Goods, Hobby, Musical Instrument, and Book Stores	17	1	17	35
48-49	Transportation and Warehousing	81	48	39	168
51	Information	27	2	13	42

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Industry Type by Place of Business

Industry Code	Industry Title	Metro District	Greater MN	Interstate	Total
52	Finance and Insurance	3	1	7	11
53	Real Estate and Rental and Leasing	20	8	5	33
54	Professional, Scientific, and Technical Services	289	44	194	527
56	Administrative and Support and Waste Management and Remediation Services	133	22	52	207
61	Educational Services	46	9	20	75
62	Health Care and Social Assistance	10		2	12
71	Arts, Entertainment, and Recreation	15	1	2	18
72	Accommodation and Food Services	26		26	52
81	Other Services (except Public Administration)	16	1	17	34
92	Public Administration	2			2

*Firms certified by the Minnesota Unified Certification Program as DBE firms

Mn-Based DBEs by Industry Type and Ethnicity

Industry Code	Industry Type	Black American	Native American	Hispanic American	Asian	Caucasian	Others	Total
11	Agriculture, Forestry, Fishing and Hunting					6		6
21	Mining, Quarrying, and Oil and Gas Extraction			1	1	7		9
22	Utilities				1	1		2
23	Construction	95	24	21	15	118	3	276
31-33	Manufacturing	11	1	3	8	39		62
42	Wholesale Trade	23	2	2	5	58	1	91
44	Retail Trade	4	1	1	2	14		22
45	Sporting Goods, Hobby, Musical Instrument, and Book Stores	8	1		1	8		18
48-49	Transportation and Warehousing	38	7	13	10	61		129
51	Information	2	1	2	5	19		29

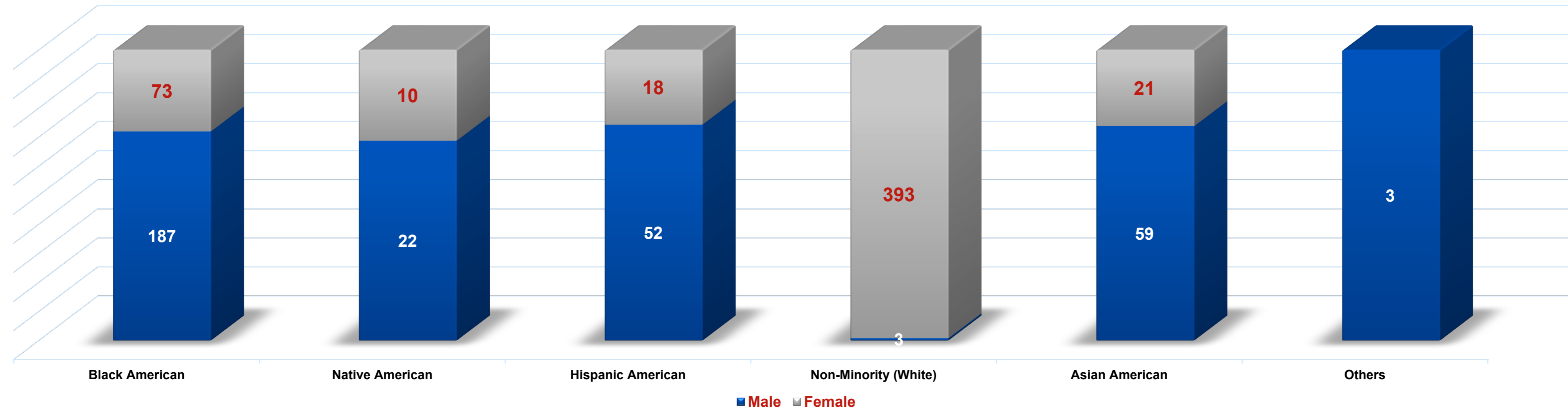
*Firms certified by the Minnesota Unified Certification Program as DBE firms

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Industry Code	Industry Type	Black American	Native American	Hispanic American	Asian	Caucasian	Others	Total
52	Finance and Insurance	1		1		2		4
53	Real Estate and Rental and Leasing	8		1	2	17		28
54	Professional, Scientific, and Technical Services	74	5	31	47	176		333
56	Administrative and Support and Waste Management and Remediation Services	61	7	11	10	64	1	154
61	Educational Services	25		1	3	26		55
62	Health Care and Social Assistance	7				3		10
71	Arts, Entertainment, and Recreation	6			1	9		16
72	Accommodation and Food Services	11		2	4	9		26
81	Other Services (except Public Administration)	11				6		17
92	Public Administration	1				1		2

*Firms certified by the Minnesota Unified Certification Program as DBE firms

Mn-Based DBEs by Ethnicity and Gender



*Firms certified by the Minnesota Unified Certification Program as DBE firms

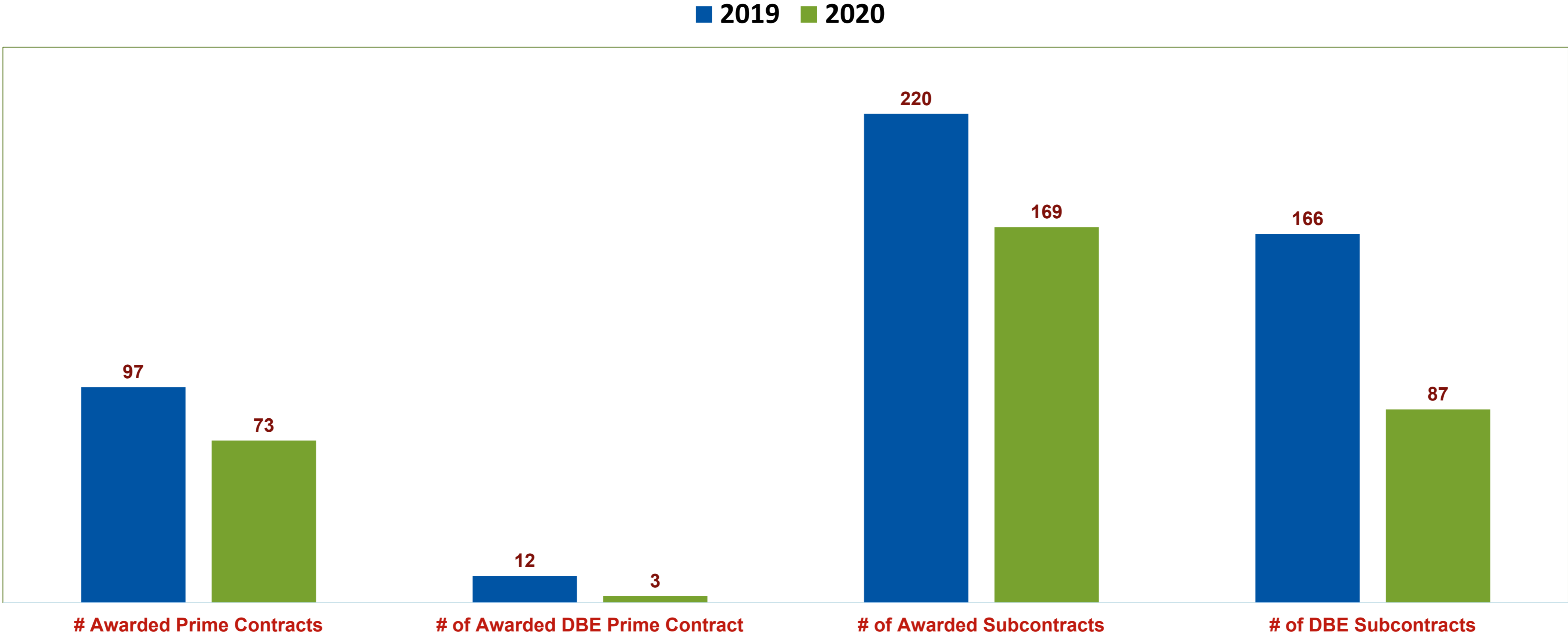
Key Points

- Source: MnUCP Directory
- Date: 5/13/2021
- Total # DBE firms: 1190
- Total # of NAICS Codes: 389
- 831 DBEs have multiple NAICS Codes (2 being lowest and 23 highest) vs. 359 with only one NAICS code

2019 & 2020 DBE Participation on FTA Funded Projects



Awarded Contracts & Subcontracts



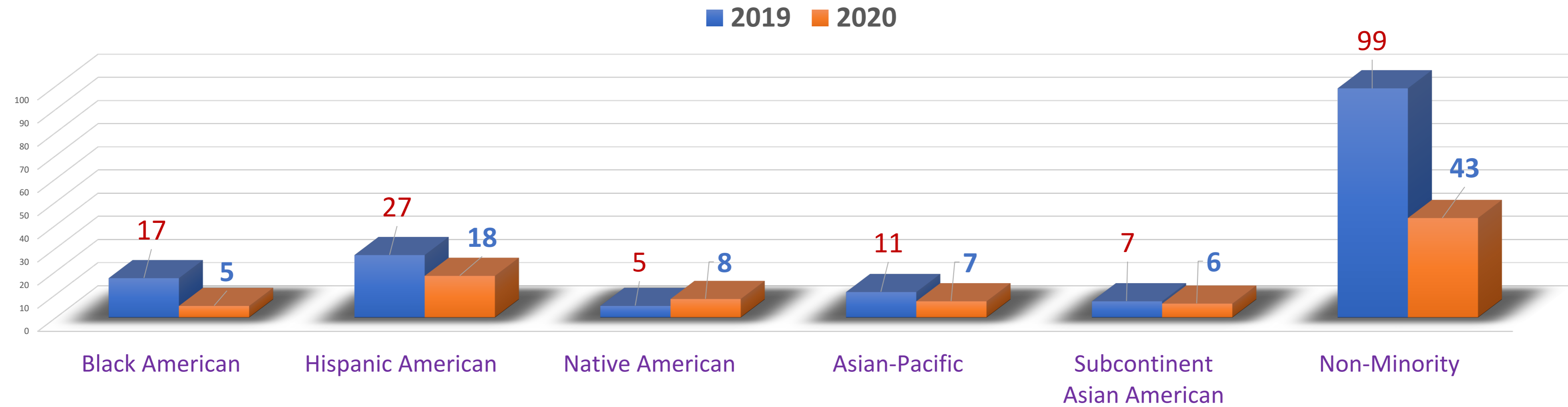
*Total number of all contracts and sub-contracts assisted with FTA funds that were awarded during FFY 2019 & 2020



Awarded Contracts by Dollar Value

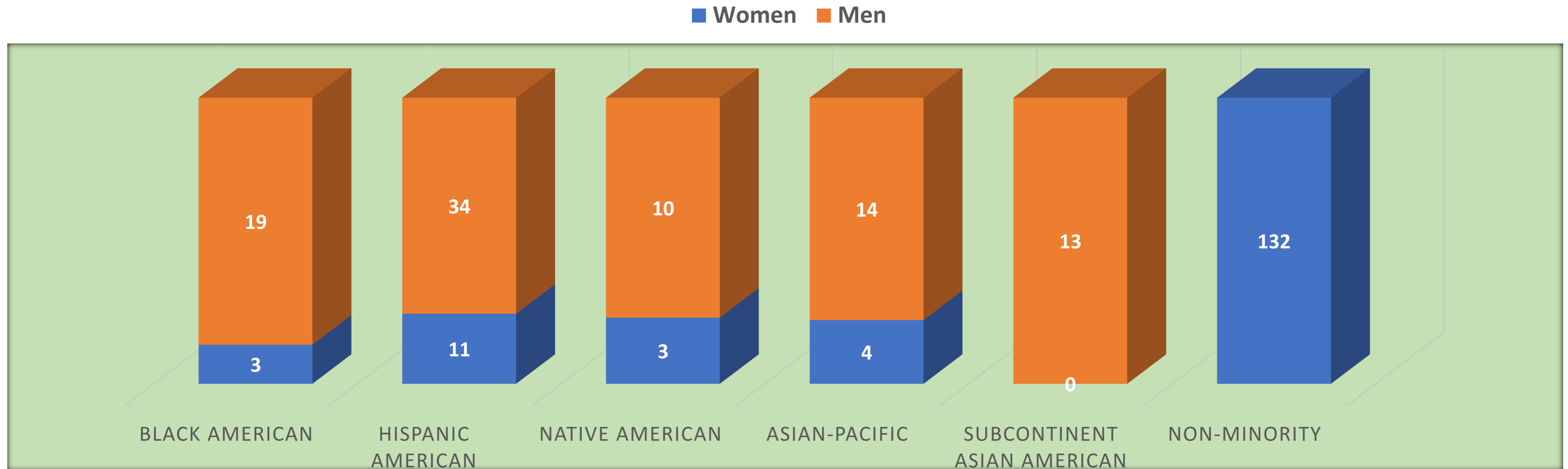
	\$ of Prime Contracts	\$ of Subcontracts	\$ of DBE Contracts	Overall DBE Goal	DBE Goal Achieved
2019	\$876,248,752.00	\$315,931,810.00	\$141,577,592.10	15 %	16%
2020	\$190,947,143.06	\$90,420,505.72	\$24,831,100.72	15%	13%
Total	\$1,067,195,895.06	\$406,352,315.72	\$166,408,692.82		

Breakdown of Ethnicity for Awarded DBE Contracts



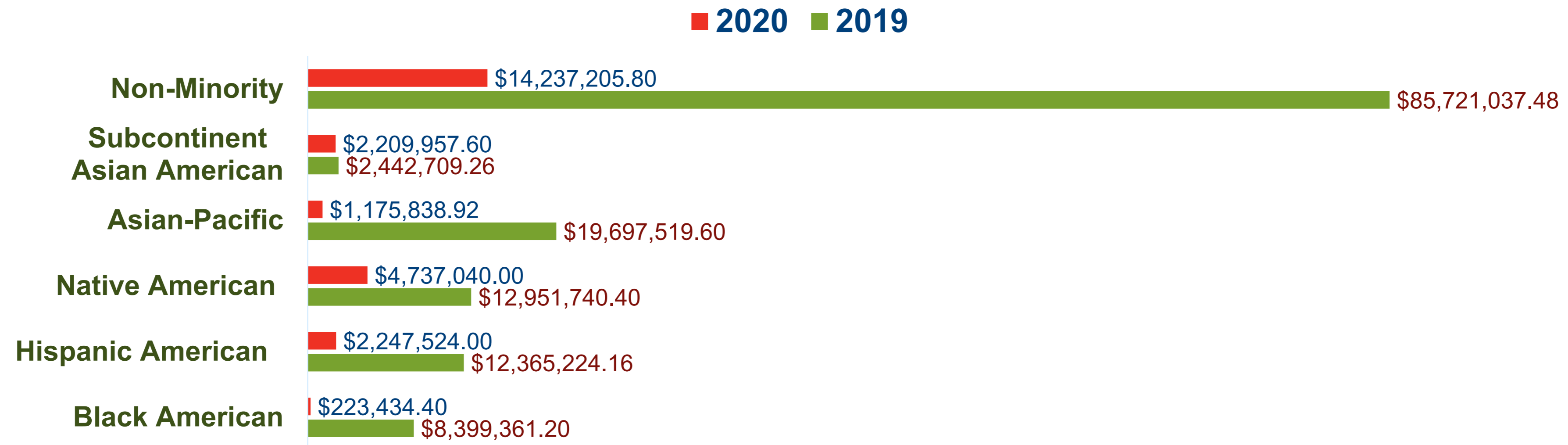
*Total number of all contracts and sub-contracts assisted with FTA funds that were awarded during FFY 2019 & 2020

Breakdown of Ethnicity and Gender for Awarded DBE Contracts



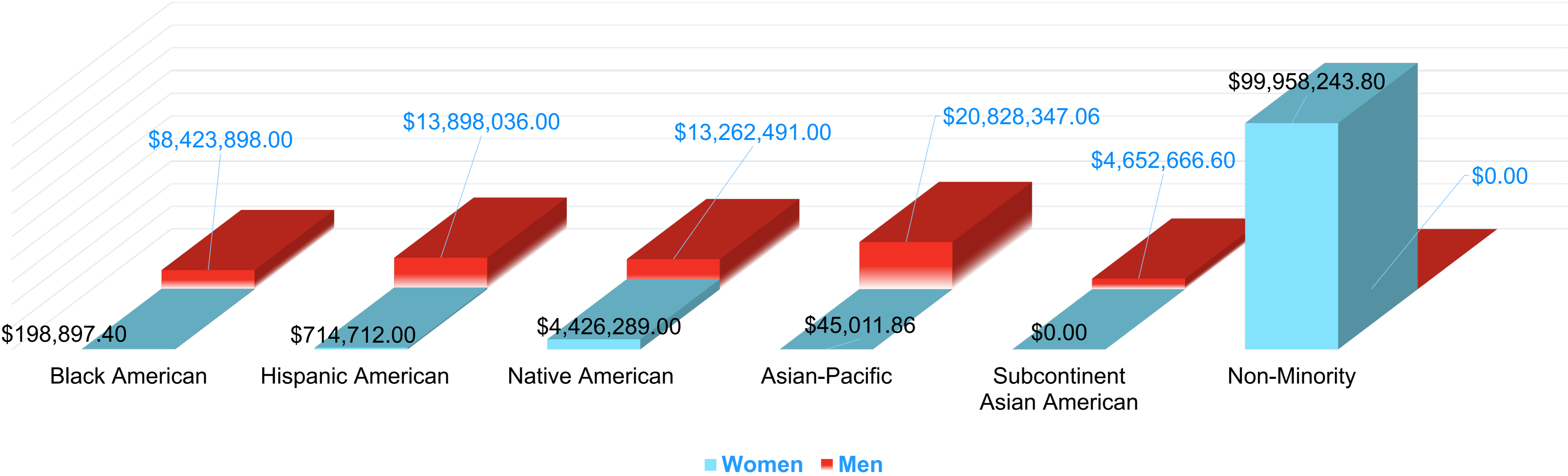
*Total number of all contracts and sub-contracts assisted with FTA funds that were awarded during FFY 2019 & 2020

Distribution of Awards (in Dollars) to DBEs by Ethnicity



*Total dollars in contracts and sub-contracts assisted with FTA funds that were awarded to DBEs during FFY 2019 & 2020

Distribution of Awards (in Dollars) to DBEs by Gender



*Total dollars in contracts and sub-contracts assisted with FTA funds that were awarded during FFY 2019 & 2020

Top Ten Work Scopes Utilized

2019

2020

	# of Contracts	%	\$ Amount
Electrical Services	13	8%	\$9,573,906.14
Civil Engineering	13	8%	\$575,225.00
Architecture Services	13	8%	\$516,000.00
Trucking	11	6.6%	\$27,375,932.36
Mechanical Engineering	9	5.4%	\$493,750.00
Electrical Engineering	7	4%	\$326,250.00
Mechanical Services	7	4%	\$4,706,000.00
Surveying Services	6	3.6%	\$128,740.00
Traffic Control	5	3%	\$6,746,961.00
Rail Work	4	2%	\$32,015,692.62

	# of Contracts	%	\$ Amount
Electrical Services	8	9%	\$3,151,386.03
Traffic Control	7	8%	\$952,875.00
Surveying Services	6	7%	\$1,115,382.00
Concrete Work	4	5%	\$3,522,887.00
Electrical Engineering	4	5%	\$686,693.44
Engineering Maintenance	4	5%	\$30,000.00
Mechanical Services	3	5%	\$3,840,061.00
Steel work	3	3%	\$2,563,200.00
Sealant	3	3%	\$1,005,633.00
Rebar Installation	3	3%	\$1,421,906.00

*Top 10 scopes utilized for DBE participation by # of contracts awarded on FTA assisted projects during FFY 2019 and FFY 2020

Top Ten DBE Firms Utilized

2019

DBE Name	# Contr.	%	Ethnicity	\$ Amount
Hallberg Eng.	11	6.6%	Hispanic	\$800,050.20
HTPO	6	3.6%	Caucasian	\$713,572.71
LV Engineering	6	3.6%	Caucasian	\$72,750.00
Rani Engineering	5	3%	Hispanic	\$296,250.00
M-P Consultants	5	3%	Hispanic	\$73,250.00
Gunnar Electric	4	2.4%	Caucasian	\$22,776,182.14
Safety Signs	4	2.4%	Caucasian	\$6,744,461.00
Sambatek Inc.	4	2.4%	Asian	\$2,141,438.08
4RMULA	4	2.4%	Black	\$198,750.00
Luken Architecture	4	2.4%	Caucasian	\$56,750.00

2020

DBE Name	# Contr.	%`	Ethnicity	\$ Amount
Rani Engineering	7	8%	Hispanic	\$1,000,882.00
Safety Signs	4	4.6%	Caucasian	\$429,705.00
M-P Consultants	4	4.6%	Hispanic	\$105,000.00
Crocus Hill Electric	3	3.4%	Caucasian	\$2,578,595.46
Povolny Specialties	3	3.4%	Caucasian	\$662,130.16
Bald Eagle	3	3.4%	Native A	\$246,756.00
Hallberg Eng.	3	3.4%	Hispanic	\$61,500.00
Always Tile & Stone LLC.	2	2.3%	Black	\$225,621.90
Airfresh Industries, Inc.	2	2.3%	Caucasian	\$174,944.50
Bloom Companies, LLC.	2	2.3%	Asian	\$140,000.00

*Top 10 DBE firms utilized for participation by # of contracts awarded on FTA assisted projects during FFY 2019 and FFY 2020

DBE Utilization By Award

FFY 2021 FTA DBE Report (1st Half)

		Total Dollars	Total Number	Total \$ to DBEs	Total # to DBEs	Total \$ to DBEs (Race Conscious)	Total # to DBEs (Race Conscious)	Total \$ to DBEs (Race Neutral)	Total # to DBEs (Race Neutral)	% of Total (\$ to DBEs)
FFY 2021 First Half Totals	Prime Contracts Awarded	\$ 25,958,437	17	\$ 1,431,200	1			\$ 1,431,200	1	5.5%
	Subcontracts Awarded	\$ 19,339,062	45	\$ 4,062,470	15	\$ 2,855,428	8	\$ 1,207,042	7	21.0%
	Total			\$ 5,493,670	16	\$ 2,855,428	8	\$ 2,638,242	8	21.2%

Type of Contracts Awarded



Award contributors

- D Line System-wide Construction - Contract 20P190 - \$20,960,917 (81%) - DBE Commitment 16.88%
- Hoists Replacements – Contract 20P133 - \$1,431,200 (5.5%)- DBE commitment 16.85%

DBE Utilization by Gender and Ethnicity

Ethnicity	Women	Men	Total \$	Women	Men	Total #
Black American	\$ 0.00	\$ 0.00	\$ 0.00	0	0	0
Hispanic American	\$ 0.00	\$ 1,685,463.00	\$ 1,685,463.00	0	3	3
Native American	\$ 0.00	\$ 372,568.00	\$ 372,568.00	0	1	1
Asian-Pacific American	\$ 0.00	\$ 1,713,798.00	\$ 1,713,798.00	0	3	3
Subcontinent Asian American	\$ 0.00	\$ 400,378.00	\$ 400,378.00	0	1	1
Non-Minority	\$ 1,321,463.00	\$ 0.00	\$ 1,321,463.00	8	0	8
Total	\$ 1,321,463.00	\$ 4,172,207.00	\$ 5,493,670.00	8	8	16

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