

Information Item

DATE: September 14, 2017
TO: TAC Funding and Programming Committee
PREPARED BY: Joe Barbeau, Senior Planner (651-602-1705)
SUBJECT: Regional Solicitation Update: Risk Assessment

After receiving feedback that the Risk Assessment form does not differentiate projects and spreads scores too thinly, staff worked with MnDOT Metro District's State Aid Office to reduce the number of elements included in the Risk Assessment, weeding out those elements that lead to the least amount of differentiation. At the August 17 TAC Funding & Programming Committee meeting, members suggested having a Risk Assessment work group to re-examine the form.

The work group met and is recommending focusing the form on four elements. These elements are:

- Layout or Preliminary Plan. This had been removed from the previous draft, but the work group felt that inclusion of a plan approved by the sponsor and key jurisdictions indicates that a project is likely to come to fruition.
- Section 106 Historic Resources. This was left unchanged from the previous draft.
- Right-of-way. This was consolidated into a simpler scoring system designed to provide more differentiation.
- Railroad involvement. This was also consolidated into a simpler scoring system.

Review of Section 4f and 6f resources was removed, as this has rarely been an issue in projects being withdrawn.

The work group also recommended adding a requirement that the applicant's board agrees to cover the non-federal project cost.

Risk Assessment

Please check those that apply and fill in anticipated completion dates for all projects, except for new/expanded transit service projects, transit vehicle purchases, or travel demand management (TDM) projects.

~~1) Project Scope Funding (5-20 Percent of Points)~~

- 100% ~~Meetings or contacts with stakeholders have occurred. All funding sources are identified and/or are local sources (the Regional Solicitation award is the gap funding/remaining funding needed to implement the project); applicants may still pursue other funding sources after the project award to reduce the local contribution.~~
- 40% ~~Stakeholders have been identified.~~
- 0% ~~The applicant is promising to cover the entire local match, but it is necessary for them to seek other sources (e.g., state bonding or various state/federal competitive grants) or funding partners to be able to successfully deliver the project (i.e., the local agency does not have the entire local match committed at this time). Stakeholders have not been identified or contacted.~~

~~2)1) Layout or Preliminary Plan (30-5 Percent of Points)~~

- Layout should include proposed geometrics and existing and proposed right-of-way boundaries.
- 100% ~~Layout or Preliminary Plan~~ approved by the applicant and all impacted jurisdictions (i.e., cities/counties that the project goes through or agencies that maintain the roadway(s) ~~completed~~). A PDF of the layout must be attached along with letters from each jurisdiction to receive points.
- 50% ~~Layout or Preliminary Plan started~~ completed but not approved by all jurisdictions. A PDF of the layout must be attached to receive points.
- 0% ~~Layout or Preliminary Plan~~ has not been started

Anticipated date or date of completion: _____

~~3) Environmental Documentation (5 Percent of Points)~~

EIS EA PM

Document Status:

- 100% ~~Document approved (include copy of signed cover sheet)~~
- 75% ~~Document submitted to State Aid for review (date submitted: _____)~~
- 50% ~~Document in progress; environmental impacts identified; review request letters sent~~
- 0% ~~Document not started~~

~~Anticipated date or date of completion/approval: _____~~

~~4)2) Review of Section 106 Historic Resources (10-20 Percent of Points)~~

- 100% ~~No known historic properties eligible for or listed in the National Register of Historic Places are located in the project area, and project is not located on an identified historic bridge~~
- 100% There are historical/archeological properties present, but determination of "no historic properties affected" is anticipated.
- 80% ~~Historic/archeological review under way~~ property impacted; determination of "~~no historic properties affected~~" or "no adverse effect" anticipated

- 40% Historic/archeological ~~review under way~~ property impacted; determination of “adverse effect” anticipated
- 0% Unsure if there are any historic/archaeological ~~resources~~ properties in the project area.

~~Anticipated date or date of completion of historic/archeological review: _____~~

Project is located on an identified historic bridge:

5) ~~Review of Section 4f/6f Resources (10-20 Percent of Points)~~

~~4(f) — Does the project impacts any public parks, public wildlife refuges, public golf courses, wild & scenic rivers or public private historic properties?~~

~~6(f) — Does the project impact any public parks, public wildlife refuges, public golf courses, wild & scenic rivers or historic property that was purchased or improved with federal funds?~~

- ~~100% No Section 4f/6f resources property located in or adjacent to the project~~
- ~~100% Impact to 4(f) property. The project is an Independent Bikeway/Walkway project covered by the bikeway/walkway Negative Declaration statement. Letter of support received (potential option for bicycle and pedestrian facility applications only)~~
- ~~80/70% Section 4f resources present within the project area, but no adverse effects impacts are minor and they do not adversely affect the activities, features, or attributes of the 4(f) property.~~
- ~~50% Project impacts to Section 4f/6f resources likely present within project area; 4(f) evaluation required. — Coordination/documentation has begun~~
- ~~30% Project impacts to Section 4f/6f present within project area; 4(f) evaluation required. Coordination/documentation has not begun resources likely — coordination/documentation has not begun~~
- ~~0% Unsure if there are any impacts to Section 4f/6f resources in the project area~~

6)3) Right-of-Way (15-230 Percent of Points)

- 100% Right-of-way, permanent or temporary easements either not required or all have been acquired
- 50% Right-of-way, permanent or temporary easements required, plat, legal descriptions, or official map complete
- ~~100% Right of way, permanent or temporary easements has/have been acquired~~
- ~~75% Right of way, permanent or temporary easements required, offers made~~
- ~~50% Right of way, permanent or temporary easements required, appraisals made~~
- ~~25% Right-of-way, permanent or temporary easements required, parcels identified~~
- ~~0% Right-of-way, permanent or temporary easements required, parcels not all identified~~
- ~~0% Right of way, permanent or temporary easements identification has not been completed~~

Anticipated date or date of acquisition _____

7)4) Railroad Involvement (25-20 Percent of Points)

- 100% No railroad involvement on project or r
- ~~100% Railroad Right-of-Way Agreement agreement is executed (include signature page, if applicable)~~
- ~~60% Railroad Right-of-Way Agreement required; Agreement has been initiated~~

- 4050% Railroad Right-of-Way Agreement required; negotiations have begun
- ~~20% Railroad Right-of-Way Agreement required; railroad has been contacted~~
- 0% Railroad Right-of-Way Agreement required; negotiations have not begun ~~railroad has not been contacted.~~

Anticipated date or date of executed Agreement _____

~~8) Interchange Approval (15 Percent of Points)*~~

- ~~100% Project does not involve construction of a new/expanded interchange or new interchange ramps~~
- ~~100% Interchange project has been approved by the Metropolitan Council/MnDOT Highway Interchange Request Committee~~
- ~~0% Interchange project has not been approved by the Metropolitan Council/MnDOT Highway Interchange Request Committee~~

~~*Please contact Karen Scheffing at MnDOT (Karen.Scheffing@state.mn.us or 651-234-7784) to determine if your project needs to go through the Metropolitan Council/MnDOT Highway Interchange Request Committee.~~

~~9) Construction Documents/Plan (10 Percent of Points)~~

- ~~100% Construction plans completed/approved (include signed title sheet)~~
- ~~75% Construction plans submitted to State Aid for review~~
- ~~50% Construction plans in progress; at least 30% completion~~
- ~~0% Construction plans have not been started~~

~~Anticipated date or date of completion: _____~~

~~10) Letting~~

~~Anticipated Letting Date: _____~~

(Next page: All tracked changes accepted.)

1) Layout (30 Percent of Points)

- Layout should include proposed geometrics and existing and proposed right-of-way boundaries
- 100% Layout approved by the applicant and all impacted jurisdictions (i.e., cities/counties that the project goes through or agencies that maintain the roadway(s)). **A PDF of the layout must be attached along with letters from each jurisdiction to receive points.**
- 50% Layout completed but not approved by all jurisdictions. **A PDF of the layout must be attached to receive points.**
- 0% Layout has not been started

Anticipated date or date of completion: _____

2) Review of Section 106 Historic Resources (20 Percent of Points)

- 100% No known historic properties eligible for or listed in the National Register of Historic Places are located in the project area, and project is not located on an identified historic bridge
- 100% There are historical/archeological properties present, but determination of “no historic properties affected” is anticipated.
- 80% Historic/archeological property impacted; determination of “no adverse effect” anticipated
- 40% Historic/archeological property impacted; determination of “adverse effect” anticipated
- 0% Unsure if there are any historic/archaeological properties in the project area.

Project is located on an identified historic bridge:

3) Right-of-Way (30 Percent of Points)

- 100% Right-of-way, permanent or temporary easements either not required or all have been acquired
- 50% Right-of-way, permanent or temporary easements required, plat, legal descriptions, or official map complete
- 25% Right-of-way, permanent or temporary easements required, parcels identified
- 0% Right-of-way, permanent or temporary easements required, parcels not all identified

Anticipated date or date of acquisition _____

4) Railroad Involvement (20 Percent of Points)

- 100% No railroad involvement on project or railroad Right-of-Way agreement is executed **(include signature page, if applicable)**
- 50% Railroad Right-of-Way Agreement required; negotiations have begun
- 0% Railroad Right-of-Way Agreement required; negotiations have not begun.

Anticipated date or date of executed Agreement _____

(Next page: All tracked changes accepted.)